U.S. Patent and Trademark Office. U.S. DEPARTMENT OF COMMERCE nder the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<del></del>	UTILITY
S	PATENT APPLICATION
P	TRANSMITTAL
_	y for new nonprovisional applications under 37 CFR 1.53(b))

Express Mail Label No.	EV314258416US	.s. 1
Title	See 1 in Addendum	ото 9
First Inventor	Bruce A. Beutel	
Attorney Docket No.	7285.US.01	

P55174 Date

02/10/04

Mail Stop Patent Application Commissioner for Patents APPLICATION ELEMENTS ADDRESS TO: P.O. Box 1450 See MPEP chapter 600 concerning utility patent application contents. Alexandria VA 22313-1450 CD-ROM or CD-R in duplicate, large table or 1. X Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing) Computer Program (Appendix) Applicant claims small entity status. Nucleotide and/or Amino Acid Sequence Submission See 37 CFR 1.27. (if applicable, all necessary) 3. X Specification [Total Pages Computer Readable Form (CRF) (preferred arrangement set forth below) - Descriptive title of the invention Specification Sequence Listing on: Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D CD-ROM or CD-R (2 copies); or - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention Paper - Brief Summary of the Invention - Brief Description of the Drawings (if filed) Statements verifying identity of above copies - Detailed Description ACCOMPANYING APPLICATION PARTS - Claim(s)
- Abstract of the Disclosure 9 Assignment Papers (cover sheet & document(s)) 4. X Drawing(s) (35 U.S.C. 113) [Total Sheets Power of 10. 37 CFR 3.73(b) Statement (when there is an assignee) Attorney [Total Sheets 5. Oath or Declaration 11. l English Translation Document (if applicable) a. X Newly executed (original or copy) 12. X Information Disclosure X Copies of IDS Statement (IDS)/PTO 1449 Citations Copy from a prior application (37 CFR 1.63(d)) Preliminary Amendment 13. (for continuation/divisional with Box 18 completed) Return Receipt Postcard (MPEP 503) 14. X (Should be specifically itemized) **DELETION OF INVENTOR(S)** 15. Certified Copy of Priority Document(s) Signed statement attached deleting inventor(s) (if foreign priority is claimed) name in the prior application, see 37 CFR 16 Nonpublication Request under 35 U.S.C. 122 1.63(d)(2) and 1.33(b). (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. Application Data Sheet. See 37 CFR 1.76 17. X Other: Express Mail Certificate 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76: Continuation-in-part (CIP) Continuation Divisional of prior application No.: ..... Prior application information: Examiner \_\_\_\_\_ Art Unit: \_\_\_\_\_ Art Unit: \_\_\_\_\_ For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS X Customer Number: OR Correspondence address below 23492 Name Address Citv State Zip Code Country Telephone Fax Name (Print/Type) Registration No. (Attorney/Agent)

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Gabryleda Ferrari-Dileo

## Addendum

1.	METHOD FOR IDENTIFICATION OF THE LOCATION OF MUTATIONS IN WHOLE
	GENOMES

Complete if Known

180 Submission of Information Disclosure Stmt

385 Request for Continued Examination (RCE) 900 Request for expedited examination of a design application

Recording each patent assignment per property (times number of properties)

385 Filing a submission after final rejection

385 For each additional invention to be examined (37 CFR 1.129(b))

(37 ČFR 1.129(a))

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

EEE TRANSMITTAI

**Small Entity** 

Fee Fee

Code (\$)

2202

2205

2201 43

2203 145

2204 43

9

Fee Description

Claims in excess of 20

over original patent

Independent claims in excess of 3

\*\* Reissue independent claims

and over original patent

\*\* Reissue claims in excess of 20

Multiple dependent claim, if not paid

Large Entity |

18

86

86

18

Code (\$)

1203 290

Fee Fee

1202

1201

1204

1205

		Application Number		Numbe	er			
for FY 2004		Filing Date			February 10, 2004			
		First Named Inventor			ntor Bruce A. Beutel			
Effective 10/01/2003. Patent fees are subject to annual revision	-	Exam	iner N	ame				
Applicant claims small entity status. See 37 CFR 1.27		Art U	nit					
TOTAL AMOUNT OF PAYMENT (\$) 842.00	Ì	Attorr	ney Do	cket N	lo. 7285.US.01			
METHOD OF PAYMENT (check all that apply)				FEE	E CALCULATION (continued)			
Check Credit card Money Other None		3. ADDITIONAL FEES Large Entity , Small Entity						
X Deposit Account:  Deposit Account  Account  01-0025	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description Fee Paid			
Account Number 01-0025	1051	130	2051	65	Surcharge - late filing fee or oath			
Deposit Account	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet			
Name Land to: (check all that apply)	1053	130	1053	130	Non-English specification			
X Charge fee(s) indicated below X Credit any overpayments	1812	2,520	1812	2,520	For filing a request for ex parte reexamination			
X Charge any additional fee(s) or any underpayment of fee(s)	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action			
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action			
FEE CALCULATION	1251	110	2251	55	Extension for reply within first month			
1. BASIC FILING FEE	1252	420	2252	210	Extension for reply within second month			
Large Entity Small Entity	1253	950	2253	475	Extension for reply within third month			
Fee Fee Fee Fee Fee Description Fee Paid Code (\$)   Code (\$)	1254	1,480	2254	740	Extension for reply within fourth month			
4004 770 2004 295 Utiliby Sling for	1255	2,010	2255	1,005	Extension for reply within fifth month			
1002 340 2002 170 Design filing fee 770.00	1401	330	2401	165	Notice of Appeal			
1003 530 2003 265 Plant filing fee	1402	330	2402	165	Filing brief in support of an appeal			
1004 770 2004 385 Reissue filing fee	1403	290	2403	145	Request for oral hearing			
1005 160 2005 80 Provisional filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding			
SUBTOTAL (1) (\$) 770.00	1452	110	2452	55	Petition to revive - unavoidable			
	1453	1,330	2453	665	Petition to revive - unintentional			
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501	1,330	2501	665	Utility issue fee (or reissue)			
Extra Claims below Fee Paid  Total Claims 24 -20** = 4 x 18 = 72	1502	480	2502	240	Design issue fee			
Independent -	1503	640	2503		Plant issue fee			
Claims Multiple Dependent	1460	130	1460	130	Petitions to the Commissioner			
	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)			

**or number previ	SUBTOTAL (2) (\$) 72.	Other fee (specify) *Reduced by Basic Fil	ling Fee Paid	SUBTOTAL	SUBTOTAL (3) (\$)		
SUBMITTED BY					(Complete	(if applicable)	
Name (Print/Type)	Gabryleda Ferrari-Dileo		Registration No. (Attorney/Agent)	P55174	Telephone	847-935-7835	
Signature	Jamesing				Date	02/10/04	

1806

8021

1809

1810

1801

1802

180 1806

40 8021

770 2809

770 2810

770 2801

900 1802

Other fee (specify)

WAŔNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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## IN THE UNITED STATES PATENT AND TRADMARK OFFICE

Applicants: Bruce A. Beutel, et al.

Group Art No.: (not yet assigned)

Serial No.: (not yet assigned)

Examiner: (not yet assigned)

Filed: February 10, 2004

For: METHOD FOR IDENTIFICATION OF THE LOCATION OF MUTATIONS IN

WHOLE GENOMES

Attorney Docket No.: 7285.US.01

## **EXPRESS MAIL CERTIFICATE**

"Express Mail" label number: EV314258416US

Date of Deposit: February 10, 2004

I hereby state that the following attached papers or fees

- 1. Utility Patent Application Transmittal (2 Pages)
- 2. Fee Transmittal (1 Page) in duplicate'
- 3. Executed Declaration and Power of Attorney (3 Pages)
- 4. Information Disclosure Statement (1 Page)
- 5. Form PTO-1449 (1 Page) in duplicate
- 6. References as cited on Form PTO-1449 (9 References)
- 7. Specification (27 Pages); Claims (5 Pages); Abstract (1 Page); Total: 33 Pages
- 8. Drawings (Figures 1-14) (14 Sheets)
- 9. 2 Return Receipt Postcards

Are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10, on the date indicated above and is addressed to:

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

hari de la Bre 2-10-04